

NRC INSPECTION MANUAL

ILPB

INSPECTION PROCEDURE 92701

FOLLOWUP

PROGRAM APPLICABILITY: 2401, 2560, 2561, 2800

92701-01 INSPECTION OBJECTIVE

To ensure that followup inspection is performed for:

- 01.01 Unresolved items.
- 01.02 Open items.
- 01.03 Headquarters and regional requests.
- 01.04 Other followups.

92701-02 INSPECTION REQUIREMENTS

02.01 Unresolved Item Followup. Evaluate the followup of unresolved items with respect to status of resolution, quality of resolution, and if unresolved, the cause of delay, effort expended to resolve, and estimated resolution date.

02.02 Open Item Followup. Evaluate the followup of open items with respect to status of completion, expediency of completing the open item, and effectiveness of completion.

02.03 Headquarters or Regional Requests Followup. Take actions as appropriate to followup and complete headquarters or regional requests.

02.04 Other Followups. Take actions as appropriate to perform other followups.

92701-03 INSPECTION GUIDANCE

General Guidance. This procedure encompasses the followup of items applicable to all phases of the inspection program with the exception of those items already determined to be a violation or deviation (IP 92702), nonroutine events, or those items whose performance is required by confirmatory action letters (IP 92703).

For items resulting from previous inspection effort, followup performed using this procedure shall be reported under the number of the procedure in which the item was initially identified. Any other followup effort conducted utilizing this procedure shall be reported under procedure number 92701.

The periodicity for the performance of these followup items varies, depending upon the nature of the item. The followup periodicity shall be as follows:

- a. Unresolved and open inspection items shall require followup soon after they are identified. Efforts are to be made to minimize the time needed to close out these items. It is recognized that followup time for these items is dependent upon the cooperation and effort expended by the licensee.
- b. The followup of all other items included in this procedure shall be performed strictly on a when required basis, at the discretion of the inspector.

Specific Guidance

03.01 Unresolved Item Followup. An unresolved item is a matter about which more information is required in order to ascertain whether it is an acceptable item, a deviation, or a violation. Although a problem may not exist, the matter is to be resolved to the extent that the inspector can determine that the facility will not be operated in an unsafe manner before leaving the site. Upon determination of whether an unresolved item is a deviation or violation, followup shall be continued through IP 92702.

03.02 Open Item Followup. An open item is a matter that requires further review and evaluation by an inspector. It is used to document, track, and ensure adequate followup on matters of concern to the inspector. Open items dealing with confirmatory action letters shall be followed in compliance with IP 92703.

03.03 No inspection guidance.

03.04 No inspection guidance.

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END